

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re U.S. Patent Application of:

APPLICANT(S): COOPER et. al.
SERIAL NO.: 10/054,366
FILING DATE: January 22, 2002
EXAMINER: Unknown
ART UNIT: 2176
DOCKET NO.: YOR9-2001-0647US1
TITLE: System and Method for Detecting Duplicate and Similar Documents

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Upon review of the above-identified filing receipt (copy enclosed), the following error is noted:

Please correct the name of the assignee to read "International Business Machines Corporation, Armonk, NY." A copy of the assignment document and cover page showing the correct assignee name is enclosed.

Please change the attorney docket number to read "YOR9-2001-0647US1"

Respectfully submitted,

Harry F. Smith, Reg. No. 32,493
HARRINGTON & SMITH, LLP
1809 Black Rock Turnpike
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MAY 07 2002

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service on April 17, 2002 as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231.

Victoria Parker

Name of person mailing correspondence

Victoria Parker

Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/054,366	01/22/2002	2176	1226	909.0100.U1 (US)	5	33	6

CONFIRMATION NO. 8382

29683
HARRINGTON & SMITH, LLP
1809 BLACK ROCK TURNPIKE
FAIRFIELD, CT 06432

UPDATED FILING RECEIPT



OC000000007806987

Date Mailed: 04/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James W. Cooper, Wilton, CT;
Anni Coden, Riverdale, NY;
Eric W. Brown, New Fairfield, CT;

Assignment For Published Patent Application

International Business Machines, Armonk, NY;

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Domestic Priority data as claimed by applicant

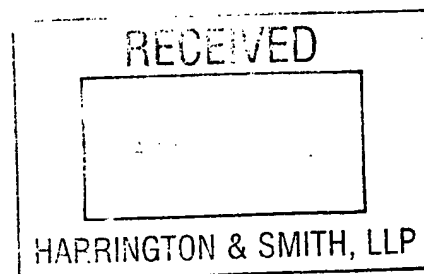
Foreign Applications

If Required, Foreign Filing License Granted 03/07/2002

Projected Publication Date: 07/24/2003

Non-Publication Request: No

Early Publication Request: No



Title

System and method for detecting duplicate and similar documents

Preliminary Class

707



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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RECORDATION FORM COVER SHEET

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et N 909.0100.U1(US)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
James Cooper
Anni Coden
Eric W. Brown

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **January 17, 2002**

2. Name and address of receiving party(ies):

Name: **International Business Machines Corporation**

Internal Address: _____

Street Address: **New Orchard Road**

City: **Armonk** State: **NY** ZIP: **10504**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **January 17, 2002**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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MAY 07 2002

Technology Center 2100

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Harry F. Smith**

Internal Address: **Harrington & Smith, LLP**

Street Address: **1809 Black Rock Turnpike**

City: **Fairfield** State: **CT** ZIP: **06432**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0150

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Harry F. Smith

Name of Person Signing

Signature

January 22, 2002

Date

Total number of pages including cover sheet, attachments, and document: **3**

JOINT ASSIGNMENT

The Assignors,

**James Cooper
48 Old Driftway
Wilton, CT 06897**

**Anni Coden
750 Kappock Street
Apt. 1403
Riverdale, NY 10463**

**Eric W. Brown
34 Columbia Drive
New Fairfield, CT 06812**

Have invented certain new and useful improvements in

**A SYSTEM AND METHOD FOR DETECTING DUPLICATE
AND SIMILAR DOCUMENTS**

An invention which is fully described in an application for Letters Patent of the United States executed by them this day; and

The **ASSIGNEE**, Hereby assigns to **INTERNATIONAL BUSINESS MACHINES CORPORATION**, a New York corporation having its principal office at Armonk, New York, wishes to acquire the entire right, title and interest in and to the invention and under Letters Patent which may be obtained for this invention.

For valuable and legally sufficient considerations, receipt of which are hereby acknowledged, the Assignors sell, assign and transfers to Assignee, it successors and assigns, the entire right, title and interest for the United States in and to the above-identified invention and application, divisionals, continuations, and continuation-in-part and for the full term of any Letters Patent of the United States that may issue for the invention.

The Assignors also sell, assign and transfer to Assignee the entire right, title and interest in and to the invention and application for Letters Patent and Letters Patent therefore in all countries foreign to the United States, including all rights under the International Convention.

The Assignors further authorize Assignee to apply for Letters Patent in foreign countries in the name of Assignee, and to claim the priority of the filing date of the United States Application under the provision of the International Convention.

The Assignors further agree, for themselves and their legal representatives, they will assist Assignee in the prosecution before the United States Patent office and the Federal Courts of this application, and other applications for Letters Patent including renewals, continuations, division, reissues and substitutions, that the Assignee elects to make covering the invention. The Assignors vest in Assignee like exclusive title in and to all such other applications and Letters Patent. The Assignors will execute and deliver to Assignee any documents which may be requested by Assignee to carry out the terms of this Assignment.

The Commissioner of Patents of the United States is authorized and requested to issue Letters Patent of the United States to Assignee. The Assignors also authorize and request the equivalent authorities in foreign countries to issue the patents of foreign countries to Assignee.

James Cooper
Inventor's Name

James W. Cooper
Signature

1-17, 2002
Date

Anni Coden
Inventor's Name

Anni R. Coden
Signature

1-17, 2002
Date

Eric W. Brown
Inventor's Name

Eric W. Brown
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1-17, 2002
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